

Calamos Investments® Privacy Policy

At Calamos Investments, we are committed to conducting ourselves with total integrity and to the highest standards of prudent business practice. Your financial privacy is an important part of these activities. Our Privacy Policy outlines the steps we take to protect your personal information. Preserving your trust and confidence reflects our dedication to maintaining long-term client relationships.

Why It Is Important We Share Our Privacy Policy

We believe that maintaining the privacy of your personal financial information is an essential piece of the service that we provide. This Privacy Policy explains how Calamos Investments handles your personal financial information, and the procedures that we follow to ensure your privacy.

What Types of Personal Information Does Calamos Investments Collect?

We collect information about you to help serve your financial needs, provide customer service, and fulfill various legal and regulatory requirements. The type of information that we collect from you will vary based upon the product or service that we provide, and may include:

- > Information included on applications, questionnaires, new account forms and other related forms such as your name, address, Social Security number, assets and income;
- > Information about your transactions with us such as purchases, sales, account balances, and bank account information;
- > Information provided or captured on our website; including any information captured on our website through the use of "cookies".

How Does Calamos Investments Share Your Information?

First and foremost, Calamos Investments does not sell lists of client information, nor do we disclose client information to marketing companies, with the exception of companies we may hire to provide specific services for us, as described below. We do not disclose any of the information described above to anyone, except as provided by law. Specifically, Calamos Investments may share non-public personal information with our affiliates in the course of processing transactions, managing accounts on your behalf, or to inform you of products or services that we believe may be of interest to you. Additionally, we may share non-public personal information with the following types of third parties:

- > Our financial service providers such as custodians and transfer agents; and
- > Non-financial companies under servicing or joint marketing agreements, such as printing firms and mailing firms that may assist us in the distribution of investor materials.

In all cases, your information is strictly protected. These third parties are bound by law or by contract to use your information only for the services for which we hired them, and are not permitted to use or share this information for any other purpose. This policy applies to current and former clients. If you access our services or products through another financial intermediary, such as a wrap fee sponsor, your intermediary's policy will govern how it uses your personal information.

Calamos Investments® Privacy Policy

Your Right to Opt Out

Calamos Investments does not sell or distribute non-public information to third parties, except as provided above. If, in the future, our policies were to change, you would be notified and provided an opportunity to opt out of our disclosing that information. That is, you could tell us not to disclose the information to any other person or entity at any time. Also, if our policies were to change in the future and you are in a state that requires opting in to the sharing of your non-public information (such as Colorado, Connecticut or Virginia), you would be notified and asked to opt in.

Calamos Investments does not discriminate against clients who exercise any privacy rights, nor do we discriminate in responding to client requests for access to or deletion of their personal information.

How We Keep Your Information Secure and Confidential

In order to further protect you, Calamos Investments maintains strict internal security measures and monitors where your personal data is held. We restrict access to your personal and account information to those employees who need to know that information to service your account. We also maintain physical, electronic and procedural safeguards that comply with industry standards to guard our non-public personal information.

To protect your accounts online, encryption technology – such as Transport Layer Security – is used to prevent unauthorized access. Before accessing your accounts online, you are required to provide verification of who you are and a password/PIN number. We request your help in this process by keeping your identification information and password/PIN number private and restricting access to your personal computer.

As a client of Calamos Investments, you can rely on our commitment to protect your personal information and privacy.

CALAMOS COMPANIES PROVIDING THIS NOTICE:

- » Calamos Advisors LLC
- » Calamos Advisors Trust
- » Calamos Financial Services LLC
- » Calamos Investment Trust
- » Calamos Wealth Management LLC
- » Calamos Convertible Opportunities and Income Fund
- » Calamos Convertible and High Income Fund
- » Calamos Dynamic Convertible and Income Fund
- » Calamos Global Dynamic Income Fund
- » Calamos Global Total Return Fund
- » Calamos Strategic Total Return Fund
- » Calamos Global Opportunities Fund LP
- » Calamos Long/Short Equity & Dynamic Income Trust
- » Calamos ETF Trust
- » Calamos Antetokounmpo Asset Management LLC
- » Calamos Aksia Alternative Credit and Income Fund
- » Calamos Aksia Private Equity and Alternatives Fund
- » Calamos Aksia Hedged Strategies Fund

CALAMOS®
TODAY FOR TOMORROW

Calamos Investments LLC
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PRIVSTMTGEN 945 0925 CAL 10001

New Account Application

CALAMOS®
TODAY FOR TOMORROW

Mail to: Calamos Family of Funds

c/o U.S. Bank Global Fund Services
PO Box 219252
Kansas City, MO 64121-9252

Overnight mail to: Calamos Family of Funds

c/o U.S. Bank Global Fund Services
801 Pennsylvania Ave., Suite 219252
Kansas City, MO 64105-1307

To complete this application, you will need:

- » Social Security Numbers for all registered holders
- » An unsigned, voided check or preprinted savings deposit slip (if applicable)
- » Permanent street addresses for all registered holders
- » A check for your initial investment payable to Calamos Funds
- » Signatures of any co-owners
- » A copy of your trust documents (if applicable)
- » A copy of your corporate documents (if applicable)
- » Date of birth of all registered holders

Please print. If you have any questions about completing this application, call a Customer Service Representative at 800.582.6959 (Hours: Monday-Friday, 8:00 a.m. to 6:00 p.m. Central time).

1. YOUR ACCOUNT TYPE

Choose only one account type.

- ☐ Individual ☐ Joint ☐ Sole Proprietorship or Unincorporated Association
☐ Trust ☐ Gift/Transfer to Minor

In compliance with the USA PATRIOT Act, all financial institutions (including mutual funds) are required to obtain, verify, and record the following information for all registered owners or others who may be authorized to act on an account: **full name, date of birth, Social Security Number, and permanent street address. Corporate, Trust and other entity accounts require additional documentation.** This information will be used to verify your true identity. We will return your application if any of this information is missing, and we may request additional information from you for verification purposes. In the rare event that we are unable to verify your identity, the Fund reserves the right to redeem your account at the current day's net asset value.

- ☐ I/We already have other Calamos accounts.
☐ This is my/our first account with Calamos Family of Funds.

2. OWNERSHIP INFORMATION

A. OWNER

Full Legal First Name of Owner ¹	M.I.	Last Name
Date of Birth (MM/DD/YYYY)	Social Security Number	E-mail Address*

PERMANENT STREET ADDRESS OF OWNER

Street Address	Apt./Suite #
City	State Zip Code
Business Telephone	Ext. Home Telephone

ACCOUNT MAILING ADDRESS

Street Address	Apt./Suite #
City	State Zip Code
Business Telephone	Ext. Home Telephone

This section must be completed.

Your permanent address cannot be a P.O. Box. Note: Your permanent address must be within the United States, an APO/FPO or in a US territory to open an account.

If your mailing address is different from your permanent street address, indicate here. If completed, this address will be used as the Address of Record for all statements, checks and required mailings. A P.O. Box may be used as the mailing address

New Account Application

2. OWNERSHIP INFORMATION (CONTINUED)

Unless otherwise indicated, each account with multiple owners is deemed to be made in joint tenancy with right of survivorship except where that term of ownership is not recognized under applicable law.

Your permanent address cannot be a P.O. Box. Note: Your permanent address must be within the United States, an APO/FPO or in a US territory to open an account.

Only one custodian and minor can be listed per account.

B. JOINT OWNER (IF APPLICABLE)

Full Legal First Name of Joint Owner ¹	M.I.	Last Name

Date of Birth (MM/DD/YYYY)	Social Security Number	E-mail Address*

PERMANENT STREET ADDRESS OF JOINT OWNER (IF DIFFERENT FROM OWNER'S PERMANENT ADDRESS)

Street Address		Apt./Suite #
City	State	Zip Code
Business Telephone	Ext.	Home Telephone

*By providing your e-mail address, you consent to receive periodic e-mails about Calamos Investments products and services.

C. GIFT/TRANSFER TO MINOR

CUSTODIAN

Full Legal First Name of Adult Custodian ¹	M.I.	Last Name
Custodian's Date of Birth (MM/DD/YYYY)	Custodian's Social Security Number	

AS CUSTODIAN FOR:

Full Legal First Name of Minor ¹	M.I.	Last Name
Minor's Date of Birth (MM/DD/YYYY)	Minor's Social Security Number	

UNIFORM GIFTS/TRANSFERS TO MINORS ACT:

UTMA State (list state who's laws governed the initial transfer) ²	Age of Termination ^{3,4}

"Minor" means an UTMA account owner (customer) who has not reached the age of termination (age the custodianship terminates, which is designated at the time of transfer and governed by state law). This means that depending on the applicable state, a minor could be older than 18 or 21.

Upon reaching the age of termination, the custodian must remove themselves as custodian (and their authority over the account) so that the UTMA account owner can complete a new application solely in their name and under their control. The custodian will no longer be able to act on the account after the minor reaches the age of termination. Please note, transfers to a minor are irrevocable. Additionally, at the age of termination, U.S. Bank Global Fund Services as transfer agent for the Calamos Funds reserves the right to restrict purchases and redemptions and reinvest any dividends and/or capital gains set to pay out in cash until the former minor completes and returns a signed New Account Application.

² In the event that the custodian does not complete the "UTMA State" field above, the custodian hereby directs U.S. Bank to designate the UTMA state using the state from the Permanent Street Address provided in the Address section as the UTMA state. This designation shall permanently remain as the designated state for this account.

³ In the event that the custodian does not complete the "Age of Termination" field above, the custodian hereby directs U.S. Bank to establish the account using the default age of termination for custodial property transferred by gift under the "UTMA State's" law.

⁴ Note that electing an age of termination beyond the default age, such as 25 if permissible for a particular state, may result in the loss of any annual exclusion for federal gift tax purposes. By entering an age of termination that is different than the state laws governing the gift or transfer, you represent and warrant that you have consulted with your tax and legal advisors on (i) the permissibility of the age selected under the governing state's law, and (ii) the state and federal tax consequences of the designated age of termination.

New Account Application

2. OWNERSHIP INFORMATION (CONTINUED)

This section must be completed.

Your permanent address cannot be a P.O. Box. Note: Your permanent address must be within the United States, an APO/FPO or in a US territory to open an account.

PERMANENT STREET ADDRESS OF CUSTODIAN

Street Address		Apt./Suite #
City	State	Zip Code
Business Telephone	Ext.	Home Telephone

PERMANENT STREET ADDRESS OF MINOR (IF DIFFERENT FROM CUSTODIAN'S PERMANENT ADDRESS)

Street Address		Apt./Suite #
City	State	Zip Code
Business Telephone	Ext.	Home Telephone

If your mailing address is different from your permanent street address, indicate here. If completed, this address will be used as the Address of Record for all statements, checks and required mailings. A P.O. Box may be used as the mailing address.

ACCOUNT MAILING ADDRESS

Street Address		Apt./Suite #
City	State	Zip Code
Business Telephone	Ext.	Home Telephone

You must supply documentation to substantiate existence of your organization or trust and your authority to open an account (i.e., Articles of Formation / Organization, Trust Agreements (including the powers and limitations section(s)), Certificate of Trust, Partnership Agreement, Certified Resolution, or other official documents).

D. SOLE PROPRIETORSHIP, UNINCORPORATED ASSOCIATION OR TRUST

FinCEN "Beneficial Ownership Rule": For new legal entity accounts established in the Calamos Funds after May 11, 2018, this Rule imposes new customer due diligence requirements related to the establishment of legal entity accounts. The Rule specifically requires that covered financial institutions, including mutual funds, identify and verify the identity of underlying beneficial owners for certain legal entity customers.

If you are establishing an account for a legal entity, such as a **Corporation, Partnership, Limited Liability Company, Government Entity/Affiliate of Government Entity, Nonprofit Organization, or a Business/Statutory Trust**, you must instead complete the **Entity Account Application**.

☐ Sole Proprietorship
☐ Unincorporated Association
☐ Personal Trust: Trust Agreement Date
(MM/DD/YYYY)

For a **Business/Statutory Trust**, please see the above note – an **Entity Account Application** will be needed.

Name of Sole Proprietorship, Unincorporated Association or Trust	Tax Identification Number
Trustees (if applicable)	

Your permanent address cannot be a P.O. Box. Note: Your permanent address must be within the United States, an APO/FPO or in a US territory to open an account.

Street Address		Apt./Suite #
City	State	Zip Code
Business Telephone	Ext.	Home Telephone

3. COST BASIS METHOD

The Cost Basis Method you elect applies to all covered shares acquired from January 1, 2012 forward and to all identically registered existing and future accounts you may establish, unless otherwise noted. The Cost Basis Method you select will determine the order in which shares are redeemed and how your cost basis information is calculated and subsequently reported to you and to the Internal Revenue Service (IRS). Please consult your tax advisor to determine which Cost Basis Method best suits your specific situation. If you do not elect a Cost Basis Method, your account will default to Average Cost.

New Account Application

3. COST BASIS METHOD (CONTINUED)

Primary Method (Select only one)

- ☐ Average Cost — averages the purchase price of acquired shares
- ☐ First in, First Out — oldest shares are redeemed first
- ☐ Last in, First Out — newest shares are redeemed first
- ☐ Low Cost — least expensive shares are redeemed first
- ☐ High Cost — most expensive shares are redeemed first
- ☐ Loss/Gain Utilization — depletes shares with losses prior to shares with gains and short-term shares prior to long-term shares
- ☐ Specific Lot Identification — you must specify the share lots to be sold at the time of a redemption (This method requires you elect a Secondary Method below, which will be used for systematic redemptions and in the event the lots you designate for a redemption are unavailable.)

Secondary Method (Select only one)

- ☐ First in, First Out ☐ Last in, First Out ☐ Low Cost ☐ High Cost ☐ Loss/Gain Utilization

Note: If a Secondary Method is not elected, First In, First Out will be used.

4. INITIAL INVESTMENT

Please indicate your choice of Fund(s) and the amount of your initial investment:

Minimum Investment: \$2,500 per fund account.

Subsequent Investments: \$50 per fund account.

Please review the prospectus carefully before submitting any purchase requests. If you have any questions, please call a customer service representative at 800.582.6959.

SELECT FUNDS	SELECT SHARE CLASS		INITIAL INVESTMENT
☐ Growth	☐ A (606)	☐ C (620)	\$
☐ Growth and Income	☐ A (604)	☐ C (618)	\$
☐ Timpani Small Cap Growth	☐ A (5650)	☐ C (5672)	\$
☐ Timpani SMID Growth	☐ A (5653)		\$
☐ Select	☐ A (634)	☐ C (636)	\$
☐ Dividend Growth	☐ A (1982)	☐ C (1983)	\$
☐ Global Opportunities	☐ A (607)	☐ C (621)	\$
☐ International Growth	☐ A (645)	☐ C (647)	\$
☐ International Small Cap Growth	☐ A (5785)	☐ C (5786)	\$
☐ Global Equity	☐ A (1913)	☐ C (1915)	\$
☐ Evolving World Growth	☐ A (1952)	☐ C (1954)	\$
☐ Convertible	☐ A (603)	☐ C (617)	\$
☐ Global Convertible	☐ A (2971)	☐ C (2972)	\$
☐ Market Neutral Income	☐ A (605)	☐ C (619)	\$
☐ Hedged Equity	☐ A (2977)	☐ C (2978)	\$
☐ Phineus Long/Short	☐ A (5084)	☐ C (5085)	\$
☐ High Income Opportunities	☐ A (608)	☐ C (622)	\$
☐ Total Return Bond	☐ A (1929)	☐ C (1931)	\$
☐ Short-Term Bond	☐ A (5617)		\$
☐ Fidelity Investments Money Market Treasury Portfolio	☐ A (5141)		\$
☐ Calamos Antetokounmpo Sustainable Equities	☐ A (5806)	☐ C (5807)	\$
☐ Merger Arbitrage	☐ A (5852)	☐ C (5853)	\$

Purchased by: 1. Check for \$ made payable to Calamos Funds

OR: 2. Wire \$ on from
Date (MM/DD/YYYY) Name of Bank

Note: A completed application must be submitted in advance of a wire. For wire instructions: Call 800.582.6959

New Account Application

5. SPECIAL SERVICES

All distributions will be reinvested in additional shares unless indicated otherwise.

A. DIVIDEND AND CAPITAL GAIN DISTRIBUTION

Pay each dividend by: ☐ Check ☐ Direct Deposit*

Pay each capital gain distribution by: ☐ Check ☐ Direct Deposit*

*An unsigned, voided check or preprinted savings account deposit slip is required with your application.

B. AUTOMATIC INVESTMENT PLAN

US Bancorp Fund Services LLC, transfer agent for the Calamos Family of Funds, can automatically transfer a minimum of \$50 per fund account regularly from your checking or savings account. Your signed application must be received at least 15 business days prior to the initial transaction. You will be assessed a \$25 fee if the automatic purchase cannot be made due to insufficient funds, stop payment, the closing of your bank account, or any other reason, and the automatic purchase plan may be terminated. An unsigned, voided check or preprinted savings account deposit slip is required with your application.

☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

FUND NAME	AMOUNT TO INVEST	ON WHICH DAY OF THE MONTH (OR ON THE FIRST BUSINESS DAY THEREAFTER)

Name(s) on Bank Account

Bank Name

Account Number

Bank Routing Number/ABA

Bank Address

City

State

Zip Code

Note: Any co-signer of a checking or savings account who is not also an owner of this new Fund account must also authorize these services by signing here.

☒	
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Signature of Bank Account Owner

Date (MM/DD/YYYY)

☒	
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Signature of Joint Bank Account Owner (if applicable)

Date (MM/DD/YYYY)

Unless you indicate otherwise, you will have telephone privileges and all checks will be sent to the address of record.

C. TELEPHONE PURCHASE, REDEMPTION AND EXCHANGE PRIVILEGES

- ☐ I do not want telephone PURCHASE privileges.
☐ I do not want telephone REDEMPTION privileges.
☐ I do not want telephone EXCHANGE privileges.

Redemptions will be made payable to the registered owner(s) by check to the address of record or as indicated below. Proceeds from shares redeemed by telephone are limited to \$50,000 maximum per day. Persons having your account information may be able to act on your behalf.

- ☐ I authorize US Bancorp Fund Services, LLC to electronically CHARGE my bank account for future purchases requested by telephone.*
☐ I authorize US Bancorp Fund Services, LLC to electronically DEPOSIT funds to my bank account for shares redeemed by telephone.*

*An unsigned, voided check or preprinted savings account deposit slip is required with your application.

New Account Application

5. SPECIAL SERVICES (CONTINUED)

Name(s) on Bank Account		
Bank Name		
Account Number	Bank Routing Number/ABA	
Bank Address		
City	State	Zip Code
☒		
Signature of Bank Account Owner	Date (MM/DD/YYYY)	
☒		
Signature of Joint Bank Account Owner (if applicable)	Date (MM/DD/YYYY)	

Note: Any co-signer of a checking or savings account who is not also an owner of this new Fund account must also authorize these services by signing here.

If you have elected direct deposit of your dividends, automatic investing or direct deposit of redemptions, you must attach a voided check or preprinted savings deposit slip.

D. BANK SERVICES

Tape your voided check or preprinted savings deposit slip here. Please do not staple.

This applies to Class A Shares only.

E. LETTER OF INTENT

☐ I agree to the Letter of Intent Provisions set forth in The Statement of Additional Information. Although I am not obligated to do so, it is my intention to invest over a 13-month period from the date of original purchase of shares in the Calamos Growth Fund, Calamos Growth and Income Fund, Calamos Timpani Small Cap Growth Fund, Calamos Timpani SMID Growth Fund, Calamos Dividend Growth Fund, Calamos Select Fund, Calamos Global Opportunities Fund, Calamos International Growth Fund, Calamos International Small Cap Growth Fund, Calamos Global Equity Fund, Calamos Evolving World Growth Fund, Calamos Convertible Fund, Calamos Global Convertible Fund, Calamos Market Neutral Income Fund, Calamos Hedged Equity Fund, Calamos Phineus Long/Short Fund, Calamos High Income Opportunities Fund, Calamos Total Return Bond Fund, Calamos Antetokounmpo Sustainable Equities Fund, Calamos Merger Arbitrage Fund, and/or Calamos Short-Term Bond Fund in an aggregate amount at least equal to:

Please review the prospectus carefully before submitting any purchase requests. If you have any questions, please call a customer service representative at 800.582.6959.

☐ \$50,000 ☐ \$100,000 ☐ \$250,000 ☐ \$500,000 ☐ \$1,000,000

New Account Application

5. SPECIAL SERVICES (CONTINUED)

I plan to invest approximately the following amounts in these funds:

FUND NAME	ACCOUNT NUMBER	AMOUNT TO INVEST

This applies to Class A Shares only.

F. RIGHTS OF ACCUMULATION

- ☐ I qualify for the cumulative quantity discount described in the prospectus.
My other accounts within the Calamos Family of Funds are as follows:

FUND NAME	ACCOUNT NUMBER	ACCOUNT NAME

6. DEALER/INVESTMENT ADVISER (TO BE COMPLETED BY THE DEALER/ADVISER IF APPLICABLE)

If your purchase of the Fund(s) was recommended by a dealer/adviser, please complete this section.

Dealer/Adviser/Firm Name	Dealer Number (optional)
Branch	Branch Number
Representative's First and Last Name	Representative Number
Address	Suite/Floor/Department
City	State Zip Code
Branch Telephone	Ext.

New Account Application

7. SIGNATURE AND CERTIFICATION

You and any joint owners must sign this application before mailing it.

By signing this form, I consent to the "household" delivery of any fund prospectus, shareholder report and other documents (other than transaction confirmations or account statements) that I must legally receive. This means that I and any other fund shareholder residing at my address believed by the Fund to be a member of my family will only receive a single prospectus/report at our address. This will not affect the delivery of my account statements or transaction confirmations.

☐ Please check here if you do NOT consent to "householding" so each fund shareholder at your address will receive their own prospectus/report in the future.

I affirm that I have received a current prospectus of the Fund applied for and I agree to be bound by its terms. I certify that I have full authority and legal capacity to purchase shares of the Fund and to establish and use any related Privileges. I understand that the Telephone Redemption and Exchange Privileges will apply to my account unless I have specifically declined those Privileges in Section 5.C. of this application.

I understand that by signing the application, unless the Privileges are declined, I agree that neither the Funds nor their Transfer Agent, their agents, officers, trustees, directors or employees will be liable for any loss, liability or expense for acting on instructions given under the Privileges, placing the risk of loss on me. See the discussion of Telephone Privileges in the prospectus.

I authorize the firm/registered representative listed in Section 6 of this application to have access to my account and to act on my behalf with respect to my account.

I certify, under penalties of perjury, that (a) all information and certifications on the application are true and correct, including the Social Security or other tax identification number provided in Section 2 (Ownership Information) or, if none is shown, I certify that I have not been issued a number but have applied for one and (b) I am not subject to backup withholding as a result of either being exempt from backup withholding, not being notified by the IRS of a failure to report all interest or dividends, or the IRS has notified me that I am no longer subject to backup withholding. (Note: you must draw a line through clause (b) of the preceding sentence if you have been notified by the IRS that you are currently subject to backup withholding due to a failure to report all interest and dividends.) I further certify that I am a US Citizen (including a US Resident Alien) and that I am exempt from FATCA reporting. The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding. I agree that the Fund and its transfer agent may redeem shares and retain the proceeds from any of my account(s) with the Fund up to a total of (a) any IRS penalties attributable to my failure to provide either the Fund or its transfer agent with correct and complete information requested by them and (b) any tax not withheld from distributions to me which should have been withheld by them.

Your mutual fund account may be transferred to your state of residence if no activity occurs with your account during the inactivity period specified in your State's abandoned property laws.

Signatures must be exactly as name(s) appear in Section 2 (Ownership Information). If your account is the account of an individual, the individual should sign; if joint owners, all should sign; if a custodian for a minor, the custodian should sign; if a corporation or other organization, an officer should sign (indicating corporate office or title); if a trust or other fiduciary, the trustee or fiduciaries should sign (including capacity).

X	
Individual Owner Signature	Date (MM/DD/YYYY)
X	
Joint Owner Signature (if applicable)	Date (MM/DD/YYYY)
X	
Custodian Signature – if the listed custodian is not a parent or legal guardian of the listed minor, please see below for additional signature requirements	Date (MM/DD/YYYY)
X	
Officer, Trustee Signature, etc.	Date (MM/DD/YYYY)
Title of Officer, Trustee, etc.	Date (MM/DD/YYYY)

If the custodian listed in Section 2 (Ownership Information) is NOT a parent or legal guardian of the listed minor, a parent or legal guardian of this minor is REQUIRED to complete and sign the following section.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Under penalty of perjury, I certify that:

- 1) the Social Security or taxpayer identification number shown on this form is the correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- 2) I am not subject to backup withholding because a) I am exempt from backup withholding, or b) I have not been notified by the Internal Revenue Service (IRS) that I am not subject to backup withholding as a result of a failure to report all interest or dividends, or c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3) I am a U.S. citizen or other U.S. person; and
- 4) The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. Certification Instructions.

You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisitions or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN.

I am signing on behalf of the minor as a parent or legal guardian:

	X	
Print Minor's Name	Signature of Parent/Legal Guardian	Date (MM/DD/YYYY)

CALAMOS[®]
TODAY FOR TOMORROW

Calamos Financial Services LLC, Distributor
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